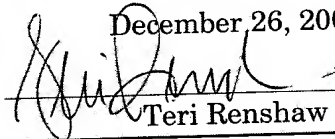
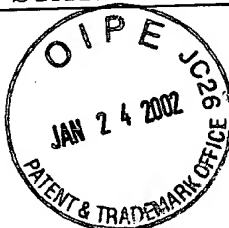


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FEB 08 2002  
TECH CENTER 600/2900

Patent Docket P254810

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of Kevin P. Baker, et al. Serial No.: 09/943,664 Filed: September 30, 2001 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i></p>	<p>Group Art Unit: Unknown Examiner: Unknown</p>
	<p><b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  December 26, 2001  Teri Renshaw</p>



**TRANSMITTAL LETTER IN RESPONSE TO RESPONSE TO REQUEST FOR  
CORRECTED FILING RECEIPT - publication and General Rules Issues**

Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

Transmitted herewith are the following documents:

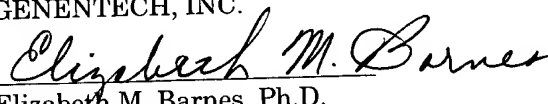
1. Copy of Response to Request for Corrected Filing Receipt.
2. Preliminary Amendment.
3. Second Request for Corrected Filing Receipt.
4. Copy of Official Filing receipt with changes indicated.
5. Post card.

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: December 26, 2001

By:   
Elizabeth M. Barnes, Ph.D.  
Reg. No. 35,059  
Telephone No. (650) 225-4563



09157

PATENT TRADEMARK OFFICE

#8/CFR

Patent Docket # 548P1C8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin P. Baker et al.

Serial No.: 09/943,664

Filed: September 30, 2001

For: *Secreted and Transmembrane  
Polypeptides and Nucleic Acids Encoding  
the Same*



Group Art Unit: Unknown

Examiner: Unknown

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

December 26, 2001

*Teri Renshaw*  
Teri Renshaw

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FEB 08 2002  
TECH CENTER 1600/2900

**SECOND REQUEST FOR A CORRECTED FILING RECEIPT**

Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

**In the DOMESTIC PRIORITY DATA AS CLAIMED BY APPLICANT please ADD the following three (3) Priorities that were MISSING:**

**AND CLAIMS BENEFIT OF 09/216,021 12/16/98**

**AND CLAIMS BENEFIT OF 09/218,517 12/22/98**

**AND CLAIMS BENEFIT OF 09/254,311 03/03/99**

The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: December 26, 2001

By:

*Elizabeth M. Barnes*  
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09157

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	INB CLAIMS
09/943,664	08/30/2001	1623	710	P2548P1C8	34	13	

 TECH OFFICE  
 000/2990  
 FEB 05 2002

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CONFIRMATION 2448

 09157  
 GENENTECH, INC.  
 1 DNA WAY  
 SOUTH SAN FRANCISCO, CA 94080


## FILING RECEIPT



\*OC000000007070806\*

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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 Daniel Tumas, Orinda, CA;  
 William I. Wood, Hillsborough, CA;

## Assignment For Published Patent Application

Genentech, Inc.;

## Domestic Priority data as claimed by applicant

 THIS APPLICATION IS A CON OF 09/866,028 05/25/2001  
 AND CLAIMS BENEFIT OF 60/067,411 12/03/1997  
 AND CLAIMS BENEFIT OF 60/069,334 12/11/1997  
 AND CLAIMS BENEFIT OF 60/069,335 12/11/1997  
 AND CLAIMS BENEFIT OF 60/069,278 12/11/1997  
 AND CLAIMS BENEFIT OF 60/069,425 12/12/1997  
 AND CLAIMS BENEFIT OF 60/069,696 12/16/1997

AND CLAIMS BENEFIT OF 60/069,694 12/16/1997

AND CLAIMS BENEFIT OF 60/069,702 12/16/1997

AND CLAIMS BENEFIT OF 60/069,870 12/17/1997

AND CLAIMS BENEFIT OF 60/069,873 12/17/1997

AND CLAIMS BENEFIT OF 60/068,017 12/18/1997

AND CLAIMS BENEFIT OF 60/070,440 01/05/1998

AND CLAIMS BENEFIT OF 60/074,086 02/09/1998

AND CLAIMS BENEFIT OF 60/074,092 02/09/1998

AND CLAIMS BENEFIT OF 60/075,945 02/25/1998

AND CLAIMS BENEFIT OF 60/112,850 12/16/1998 AND CLAIMS BENEFIT OF 09/216,021 12/16/

AND CLAIMS BENEFIT OF 60/113,296 12/22/1998 AND CLAIMS BENEFIT OF 09/218,517 12/22/

AND CLAIMS BENEFIT OF 60/146,222 07/28/1999

AND CLAIMS BENEFIT OF 09/254,311 03/03/99

#### Foreign Applications

PCT/US98/19330 09/16/1998

PCT/US98/25108 12/01/1998

PCT/US99/12252 06/22/1999

PCT/US99/21090 09/15/1999

PCT/US99/28409 11/30/1999

PCT/US99/28313 11/30/1999

PCT/US99/28301 12/01/1999

PCT/US99/30095 12/16/1999

PCT/US00/03565 02/11/2000

PCT/US00/04414 02/22/2000

PCT/US00/05841 03/02/2000

PCT/US00/08439 03/30/2000

PCT/US00/14042 05/22/2000

PCT/US00/20710 07/28/2000

PCT/US00/32678 12/01/2000

PCT/US01/06520 02/28/2001

If Required, Foreign Filing License Granted 11/06/2001

Projected Publication Date: 02/21/2002

Non-Publication Request: No

Early Publication Request: No

#### Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same ✓

#### Preliminary Class

435

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LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

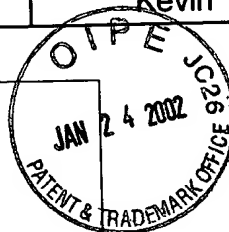


## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
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EM/EC/L

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09943664	09/30/2001	Kevin	P2548P1C8

GENENTECHM, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

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DEC 14 2001

GENENTECH, INC.  
LEGAL DEPT.

Date Mailed: 12/06/2001

TECH CENTER 1600/2900

FEB 08 2002

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## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Publication and General Rules Issues

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- ☐ The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☐ Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).
- ☒ An amendment or Application Data Sheet is needed to make this change.
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. See MPEP 605.02.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet.

**CALENDARED/DHW**  
Submit Data sheet For Correcting  
Filing Receipt 01/06/02  
DUE DATE

☐ Small entity status was previously claimed in this application. To notify the Office of a loss of entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).

☐ The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt.

☐ The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213.

☐ There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.

☐ Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.

☐ The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".

NK

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Office of Initial Patent Examination  
(703) 308-1202